

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 4

Application Number	10/693,323
Filing Date	October 24, 2003
First Named Inventor	Yang, Xiao
Art Unit	1733
Examiner Name	Christopher Schatz
Attorney Docket Number	021713-000300US

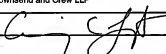
## ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Supplemental Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below):<br>PTO/SB/08A & PTO/SB/08B<br>3 Reference Copies<br>1 copy of GB Search Report |
|--|--|---|

**Remarks** The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.


\*Total number of pages does not include cited references

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Craig C. Largent		
Date	October 25, 2006	Reg. No.	56,400

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being filed via EFS-Web with the United States Patent and Trademark Office on the date shown below.

Signature			
Typed or printed name	Tiffany Wu	Date	October 25, 2006

I hereby certify that this correspondence is being filed via  
EFS-Web with the United States Patent and Trademark Office  
on 10/25/06

PATENT  
Attorney Docket No.: 021713-000300US

TOWNSEND and TOWNSEND and CREW LLP

By: Tiffany Wu

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Xiao Yang

Application No.: 10/693,323

Filed: October 24, 2003

For: METHOD AND SYSTEM FOR  
HERMETICALLY SEALING  
PACKAGES FOR OPTICS

Confirmation No.: 8239

Examiner: Christopher Schatz

Art Unit: 1733

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER  
37 CFR §1.97 and §1.98

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. This IDS is submitted after the Notice of Allowance has been issued. These references were cited in a GB Search Report and categorized as "A: Document indicating technological background and/or state of the art." We have reviewed these references and determined that they are either not material to patentability or cumulative to information already of record. We are submitting these references and bringing them to the attention of the Examiner in an abundance of caution. Applicants understand that the references may not be entered, but request that the Examiner consider these references and place them in the application file wrapper.

Enclosed is a copy of the Search/Examination report corresponding to the Great Britain application.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Craig C. Largent  
Reg. No. 56,406

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80900758 v1

Substitute for form 1449A&B/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			Application Number	10/693,323	
			Filing Date	October 24, 2003	
			First Named Inventor	Yang, Xiao	
			Art Unit	1733	
			Examiner Name	Christopher Schatz	
Sheet	1	of	1	Attorney Docket Number	021713-000300US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number <small>Number Kind Code<sup>2</sup> (Patent)</small>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	6,084,288	07-04-2000	Farnworth et al.	
	2	6,639,313	10-28-2003	Martin et al.	
	3	2003/0104651	06-05-2003	Kim et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup>	Number <sup>3</sup>	Kind Code <sup>3</sup> (if known)				

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>4</sup>
					<input type="checkbox"/>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> Applicant is to place a check mark here if English language Translation is attached.